

# ON THE MONOTONICITY OF PERIMETER ON NESTED CONVEX SETS

works with K. Beres, F. Giannetti and G. Savaré (2017-2023)

## MONOTONICITY

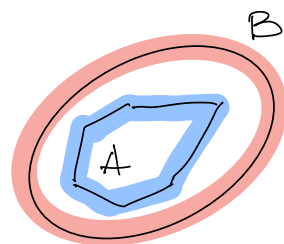
$E \subset \mathbb{R}^n$ ,  $n \geq 2$  is a **lower body** =  $E$  compact, convex,  $\text{int}(E) \neq \emptyset$

$$E \subset \mathbb{R}^n \text{ lower body} \Rightarrow P(E) = \mathcal{H}^{n-1}(\partial E)$$

$\uparrow$  Hausdorff surface meas.  $\nwarrow$  top. boundary

Theorem (Ancient Greek / Archimedes)

$$A \subset B \subset \mathbb{R}^n \text{ convex bodies} \Rightarrow \underline{P(A)} \leq \underline{P(B)}$$



Proof. Let  $H =$  closed half-space,  $E =$  lower body

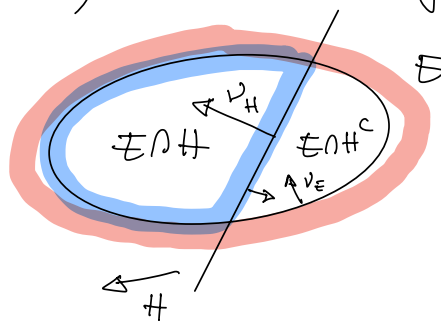
Claim  $\underline{P(E \cap H)} \leq \underline{P(E)}$

Write

$$H = \{x \in \mathbb{R}^n : x \cdot \nu_H \geq 0\}$$

$\nwarrow$  inner normal of  $H$

Set  $X : \mathbb{R}^n \rightarrow \mathbb{R}^n$ ,  $X \equiv -\nu_H$ .



$$0 = \int_{E \cap H^c} \text{div } X \, dx = - \int_{\partial(E \cap H^c)} X \cdot \nu \, d\mathcal{H}^{n-1}$$

$\uparrow$   $\mathcal{L} = \partial E \cap H^c \cup E \cap \partial H$

$\nwarrow$  inner normal of  $E \cap H^c$

$$\nu_{E \cap H^c} = \begin{cases} \nu_E & \text{on } \partial E \cap H^c \\ -\nu_H & \text{on } \partial H \cap E \end{cases}$$

$$= - \int_{\partial E \cap H^c} \underbrace{X \cdot \nu_E}_{\lambda} d\mathcal{H}^{n-1} + \int_{\partial H \cap E} \underbrace{X \cdot \nu_H}_{=-1} d\mathcal{H}^{n-1}$$

$$\mathcal{H}^{n-1}(\partial E \cap H^c) \qquad \underbrace{\hspace{10em}}_{-\mathcal{H}^{n-1}(\partial H \cap E)}$$

$$\Rightarrow \mathcal{H}^{n-1}(\partial H \cap E) \stackrel{(1)}{\leq} \mathcal{H}^{n-1}(\partial E \cap H^c)$$

Thus

$$\begin{aligned} P(E \cap H) &= \mathcal{H}^{n-1}(\partial(E \cap H)) \\ &= \mathcal{H}^{n-1}(\partial E \cap H \cup \partial H \cap E) \\ &\leq \mathcal{H}^{n-1}(\partial E \cap H) + \mathcal{H}^{n-1}(\partial H \cap E) \\ &\stackrel{(1)}{\leq} \mathcal{H}^{n-1}(\partial E \cap H) + \mathcal{H}^{n-1}(\partial E \cap H^c) \\ &= \mathcal{H}^{n-1}(\partial E) = P(E). \quad \text{ok claim!} \end{aligned}$$

To conclude:

Fact  $A \subset \mathbb{R}^n$  convex body  $\Rightarrow \exists (H_k)_{k \in \mathbb{N}}$  closed half-spaces

$$\text{s.t. } A = \bigcap_{k \in \mathbb{N}} H_k$$

(think of  $\partial A$  being polyhedral)

Then  $A = A \cap B = B \cap \bigcap_{k=1}^{\infty} H_k$ , so

$$P(A) = P\left(B \cap \bigcap_{k=1}^{\infty} H_k\right)$$

$$= P\left(\lim_{N \rightarrow +\infty} B \cap \bigcap_{k=1}^N H_k\right)$$

l.i.c.

$$\leq \liminf_{N \rightarrow +\infty} P\left(B \cap \bigcap_{k=1}^N H_k\right)$$

← repeat claim N times

claim

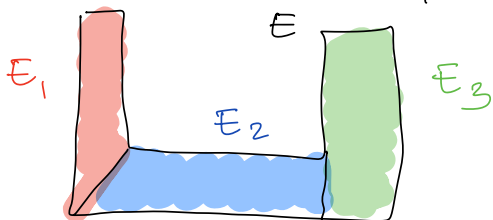
$$\leq \liminf_{N \rightarrow \infty} P(B) = P(B)$$

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### APPLICATION: CONVEX COMPONENTS

$E \subset \mathbb{R}^n$ ,  $n \geq 2$ , be just a compact set.

Decompose  $E = \bigcup_{i=1}^k E_i$ ,  $E_i$  convex body  
 $|E_i \cap E_j| = 0 \quad \forall i \neq j$



Assume a decomposition exists. What is the minimal number of convex components  $k_{\min}(E)$ ?

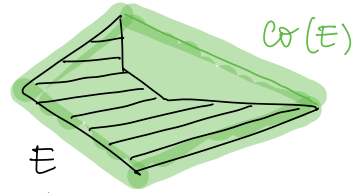
Remark  $k_{\min}(E) \geq \#$  connected components

(so we can assume  $E$  is connected,  $\text{int}(E) \neq \emptyset$ )

Consider

$\text{co}(E) = \text{convex hull of } E$

$$\equiv \left\{ (1-t)x + ty : x, y \in E, t \in [0,1] \right\} = \bigcap_{\substack{C \supseteq E \\ C \text{ convex}}} C$$



Note that  $E \subset \text{co}(E)$  and  $\text{co}(E)$  is a convex body.

Assume  $E = \bigcup_{i=1}^k E_i$ ,  $E_i$  convex body,  $|E_i \cap E_j| = 0$ .

Then  $\leftarrow$  any decomposition

$$\begin{aligned} P(E) &= \mathcal{H}^{n-1} \left( \partial \bigcup_{i=1}^k E_i \right) \leq \mathcal{H}^{n-1} \left( \bigcup_{i=1}^k \partial E_i \right) \\ &\leq \sum_{i=1}^k \mathcal{H}^{n-1}(\partial E_i) = \sum_{i=1}^k P(E_i) \end{aligned}$$

Now  $E_i \subset E \subset \text{co}(E) \forall i \xrightarrow{\text{MONOTONICITY}} P(E_i) \leq P(\text{co}(E)) \forall i$   
 $\leftarrow$  convex bodies  $\nabla$

$$\text{Hence } P(E) \leq \sum_{i=1}^k P(\text{co}(E)) = k P(\text{co}(E))$$

$$\Rightarrow k_{\min}(E) \geq \left\lceil \frac{P(E)}{P(\text{co}(E))} \right\rceil$$

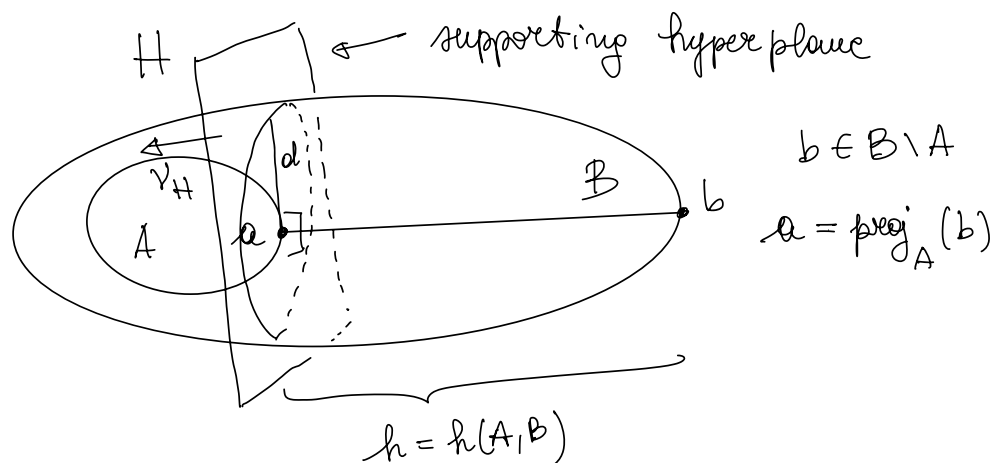
[La Cirita - Lovett, 2008]

## QUANTITATIVE MONOTONICITY

Notation:  $A, B \subset \mathbb{R}^n$ ,  $n \geq 2$ , convex bodies

$$h(A, B) = \sup_{b \in B} \inf_{a \in A} |a - b| = \max_{b \in B} \min_{a \in A} |a - b|.$$

is the **Hausdorff distance**:



$$H = \left\{ x \in \mathbb{R}^n : (x - a) \cdot (b - a) \leq 0 \right\}$$

Theorem (Carozzo - Giannetti - Leonetti - Parroncelli di Napoli)

•  $n = 2$  (2015):

$$\delta(A, B) = P(B) - P(A) \geq \frac{2h^2}{\frac{\mathcal{H}^1(B \cap \partial H)}{2} + \sqrt{\left(\frac{\mathcal{H}^1(B \cap \partial H)}{2}\right)^2 + h^2}}$$

•  $n = 3$  (2016): for  $d = \text{dist}(a, \partial B \cap \partial H)$

$$\delta(A, B) \geq \frac{\pi d h^2}{\left(d + \sqrt{d^2 + h^2}\right)}$$

What about  $n \geq 4$ ? (Leica Terme, Jan. 2016)

• (S., 2018)  $\forall n \geq 2$

$$\delta(A, B) \geq \frac{\omega_{n-1} r^{n-1} h^2}{r + \sqrt{r^2 + h^2}}$$

where  $r = \left( \frac{\mathcal{H}^{n-1}(B \cap \partial H)}{\omega_{n-1}} \right)^{1/n}$  (mean radius)

Remark  $n=3 \Rightarrow r \leq d$  (stronger result)

Sketch of proof

$$E = B \cap H$$

$C =$  cone from  $b$  to  $B \cap \partial H$

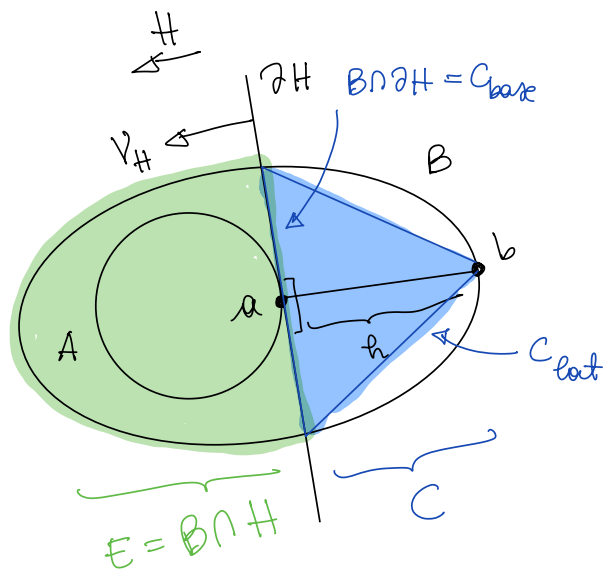
$$A \subset E \subset C \cup C \subset B$$

$$\delta(A, B) = P(B) - P(A)$$

$$\geq P(E \cup C) - P(E)$$

$$P(E \cup C) = \mathcal{H}^{n-1}(B \cap \partial H) + \mathcal{H}^{n-1}(C_{\text{lat}})$$

$$P(E) = \mathcal{H}^{n-1}(B \cap \partial H) + \mathcal{H}^{n-1}(C_{\text{base}})$$



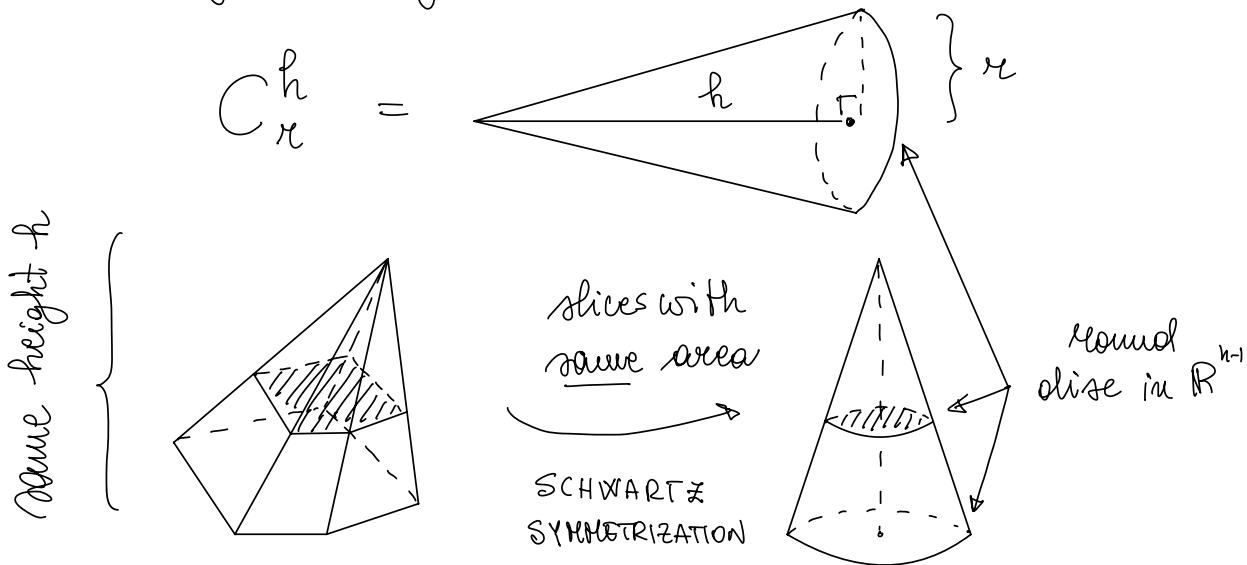
$$\Rightarrow S(A, B) \geq \mathcal{H}^{n-1}(C_{\text{cat}}) - \mathcal{H}^{n-1}(C_{\text{base}})$$

$$\mathcal{H}^{n-1}(C_{\text{base}}) = \mathcal{H}^{n-1}(B \cap \partial H) = \omega_{n-1} \underset{\substack{\uparrow \\ \text{given}}}{r} r^{n-1}$$

Hence we need to solve

$$\inf \left\{ \mathcal{H}^{n-1}(C_{\text{cat}}) : C \text{ cone} \begin{cases} \text{height} = h \\ \mathcal{H}^{n-1}(C_{\text{base}}) = \omega_{n-1} r^{n-1} \end{cases} \right\}$$

Using **Schwarz symmetrization**, the min problem is solved by the **right round cone**:



Application: Better lower bound on  $K_{\min}(E)$ :

- $n=2$  C-G-L-P, 2017
- $n \geq 2$  Giannetti-S., 2022

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## WEIGHTED PERIMETER

Let  $f: \mathbb{R}^n \rightarrow [0, +\infty)$  be  $\mathcal{C}^1$  (WLOG).

Consider the weighted perimeter

$$P_f(E) = \int_{\partial E} f(x) d\mathcal{H}^{n-1}(x).$$

Theorem (Sarason-S., 2023)

$P_f$  monotone on convex bodies  $\iff f \equiv \text{const} \geq 0$

Remark If  $f = f(x, \nu)$ ,  $\nu \in \mathbb{S}^{n-1}$ , then the theorem is

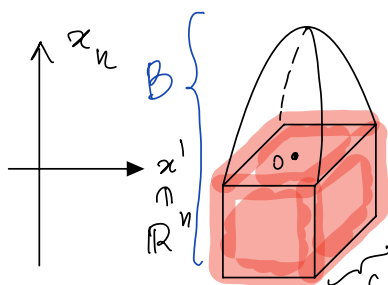
**FALSE!** Consider  $f(x, \nu) = F(x) \cdot \nu + \beta$  with

$F \in \mathcal{C}^1$ ,  $\text{div} F \equiv \alpha \geq 0$  and  $\beta \geq \|F\|_{L^\infty}$ .

$\neq$

Sketch of proof

Consider :



} graph of  $\lambda h(x')$   
for  $\lambda \geq 0$   
 $h = \text{concave} > 0$

**A** = small cube (size  $\delta$ )

**B** =  $A \cup$  "filled graph of  $\lambda h$ "



Then, assuming monotonicity,  $\forall \lambda \geq 0$

$$0 \leq P(B) - P(A) =$$

$$= \int_{[-\delta, \delta]^{N-1}} \underbrace{f(x', \lambda h(x')) \sqrt{1 + \lambda^2 |\nabla h(x')|^2}}_{\substack{\text{area of graph of } \lambda h \\ \text{over face of cube}}} - \underbrace{f(x', 0)}_{\text{area of face of cube}} dx'$$

Hence

$$\lambda \mapsto \varphi(\lambda) = \int_{[-\delta, \delta]^{N-1}} f(x', \lambda h(x')) \sqrt{1 + \lambda^2 |\nabla h(x')|^2} dx'$$

has a minimum at  $\lambda = 0$ . Hence, by differentiating,

$$0 = \varphi'(0) = \int_{[-\delta, \delta]^{N-1}} \partial_{x_n} f(x', 0) h(x') dx'$$

whenever  $h > 0$  on  $(-\delta, \delta)^{N-1}$  is nonempty. This

forces  $\partial_{x_n} f(0) = 0$ . Repeating the same argument

in every  $x_i$ , we get  $\nabla f(0) = 0$ . But the construction

can be repeated at any point  $\Rightarrow \nabla f \equiv 0$ .

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## NON-LOCAL PERIMETER

$K : \mathbb{R}^n \rightarrow [0, +\infty]$  measurable function

"diffused"  
perimeter

The non-local  $K$ -perimeter of  $E$  is

$$P_K(E) = \frac{1}{2} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} |\mathbb{1}_E(x) - \mathbb{1}_E(y)| K(x-y) \, dx \, dy$$

For  $K(x) = \frac{1}{|x|^{n+s}}$ ,  $s \in (0, 1)$ , we recover the  $s$ -fractional perimeter  $P_s$  of Caffarelli-P Roquejoffre-Savin, 2010.

Theorem (Figalli-Fusco-Maggi-Millot-Morini, 2015)

↳ fractional case

Beras-S., 2022 & Giannetti-S., 2023  
(2024)

Assume that

•  $K(-x) = K(x) \quad \forall x \in \mathbb{R}^n$  (Sym)

•  $\int_{\mathbb{R}^n} \min\{1, |x|\} K(x) \, dx < +\infty$  (Nt4)

(hence  $P_K(E) < +\infty \quad \forall E \subset \mathbb{R}^n$  s.t.  $P(E) < +\infty$ )

Then

$$A \subset B \subset \mathbb{R}^n \quad \implies \quad P_K(A) \leq P_K(B)$$

lower bodies

(actually in the quantitative sense, for  $K$  more symmetric)

see Giannetti-S., 2023

## Sketch of proof

As in the classical setting, we just need to prove

Claim  $E = \text{lower body} \implies P_K(E \cap H) \leq P_K(E)$ .  
 $H = \text{closed halfspace}$

We first observe that, by (Sym),

$$P_K(E) = \int_E \int_{E^c} K(x-y) \text{ body}$$

Hence

$$P_K(E) - P_K(E \cap H)$$

$$= \left( \int_E \int_{E^c} - \int_{E \cap H} \int_{(E \cap H)^c} \right) K(x-y) \text{ body}$$

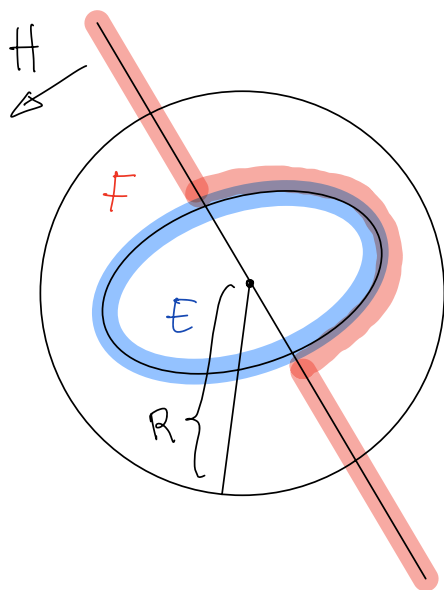
$$= \left( \int_{E \cap H} + \int_{E \setminus H} \right) \int_{E^c} K(x-y) \text{ body}$$

$$- \left( \int_{E^c} + \int_{E \setminus H} \right) \int_{E \cap H} K(x-y) \text{ body}$$

$$= \left( \int_{E^c} - \int_{E \cap H} \right) \int_{E \setminus H} K(x-y) \text{ body}$$

Since  $E$  is bounded,  $E \subset B_R$ . Define  $F = E \cup H$ .  
 Observe that

$$E \subset F, \quad E \setminus H = F \setminus H, \quad F \cap H = H, \quad F \setminus B_R = H \setminus B_R$$



Hence

$$\begin{aligned} & \left( \int_{E^c} - \int_{E \cap H} \right) \int_{E \setminus H} K(x-y) \, \text{dvol}_y \\ & \geq \left( \int_{F^c} - \int_{F \cap H} \right) \int_{F \setminus H} K(x-y) \, \text{dvol}_y \\ & = P_K(F; B_R) - P_K(H; B_R) \end{aligned}$$

where

$$P_K(E; A) = \left( \int_{E \cap A} \int_{E^c \cap A} + \int_{E \cap A} \int_{E^c \cap A^c} + \int_{E \cap A^c} \int_{E^c \cap A} \right) K(x-y) \, \text{dvol}_y$$

is the K-perimeter of E inside A.

Theorem (Pagliari, 2020 & Cabré, 2020)

H is a minimum for  $P_K(\cdot; B_R) \forall R > 0$ , i.e.

$$P_K(H; B_R) \leq P_K(E; B_R) \quad \forall E \subset \mathbb{R}^n \text{ s.t. } E \cap B_R = H \cap B_R.$$

↑ LOCAL MINIMALITY OF HALF-SPACES

Hence

$$P_K(E) - P_K(E \cap H) \underset{\text{computations}}{\geq} P_K(F; B_R) - P_K(H; B_R) \underset{\text{local minimality of H}}{\geq} 0$$

↑ recall:  $F \cap B_R = H \cap B_R$

## QUESTIONS

(1) When is  $P_f$  monotone for  $f = f(x, \nu)$ ?

•  $f = f(x)$ :  $P_f$  monotone  $\iff f \equiv \text{const}$  (Sarason-S., 2023)

•  $f = g(x) \Phi(\nu)$  with  $g$  radial and  $\Phi$  convex:

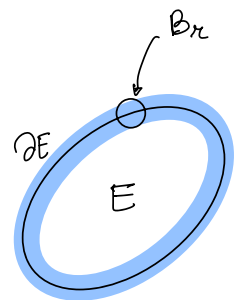
$P_f$  monotone  $\iff g \equiv \text{const}$  (Sarason-S., 2023)

What about more general  $f = f(x, \nu)$ ?

(2) Consider  $P_\kappa^M(E) = \frac{|\partial E \oplus B_\kappa|}{2\kappa}$  for  $\kappa > 0$

↑ Minkowski perimeter

← Minkowski sum



Is  $P_\kappa$  monotone for some  $\kappa > 0$ ?